

# *Environments*

## *Past three weeks*

How to *use* essential language constructs?

- Data Types
- Recursion
- Higher-Order Functions

## *Next two weeks*

How to *implement* language constructs?

- Local variables and scope
- Environments and Closures
- (*skip*) Type Inference

# *Interpreter*

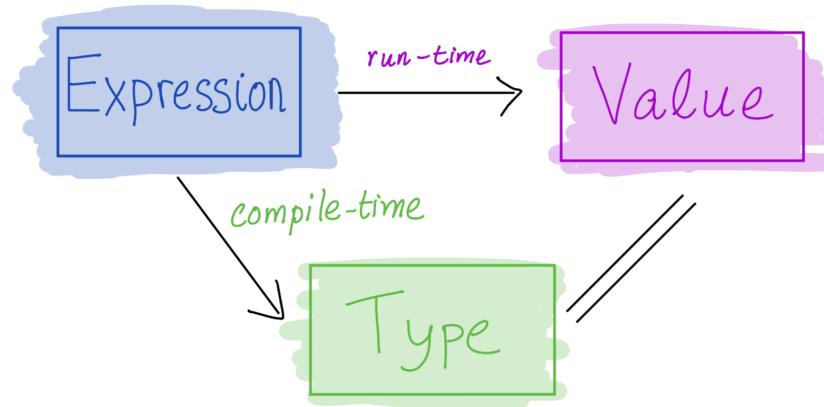
How do we *represent* and *evaluate* a program?

# *Roadmap: The Nano Language*

Features of Nano:

- 1. Arithmetic**
- 2. Variables**
- 3. Let-bindings**
- 4. Functions**

## 5. Recursion



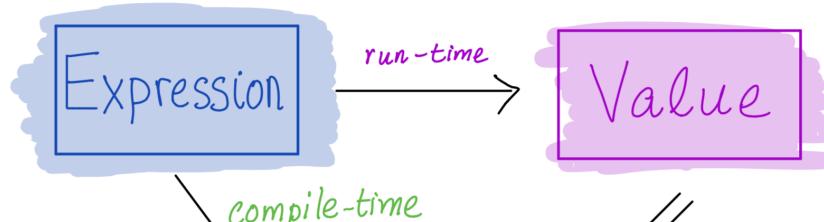
### 1. Nano: Arithmetic

A grammar of arithmetic expressions:

```
e ::= n  
| e1 + e2  
| e1 - e2  
| e1 * e2
```

Expressions		Values
4	$\Rightarrow$	4
4 + 12	$\Rightarrow$	16
(4+12) - 5	$\Rightarrow$	11

## Representing Arithmetic Expressions and Values





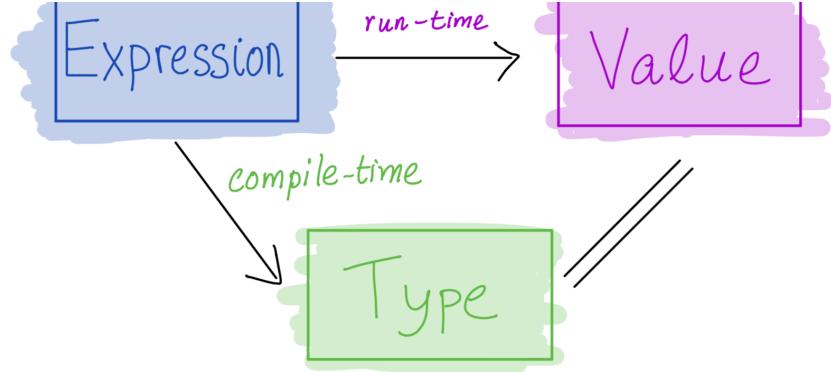
Lets *represent* arithmetic expressions as type

```
data Expr
  = ENum Int          -- ^ n
  | EAdd Expr Expr   -- ^ e1 + e2
  | ESub Expr Expr   -- ^ e1 - e2
  | EMul Expr Expr   -- ^ e1 * e2
```

Lets *represent* arithmetic values as a type

```
type Value = Int
```

*Evaluating Arithmetic Expressions*



We can now write a Haskell function to *evaluate* an expression:

```
eval :: Expr -> Value
eval (EEnum n)      = n
eval (EAdd e1 e2) = eval e1 + eval e2
eval (ESub e1 e2) = eval e1 - eval e2
eval (EMul e1 e2) = eval e1 * eval e2
```

# *Alternative representation*

Lets pull the *operators* into a separate type

```
data Binop = Add          -- ^ `+`  
            | Sub          -- ^ `_-`  
            | Mul          -- ^ `*`  
  
data Expr  = ENum Int      -- ^ n  
            | EBin Binop Expr Expr -- ^ e1 `op` e2
```

*QUIZ*

## Evaluator for alternative representation

```
eval :: Expr -> Value
eval (EEnum n)          = n
eval (EBin op e1 e2) = evalOp op (eval e1) (eval e2)
```

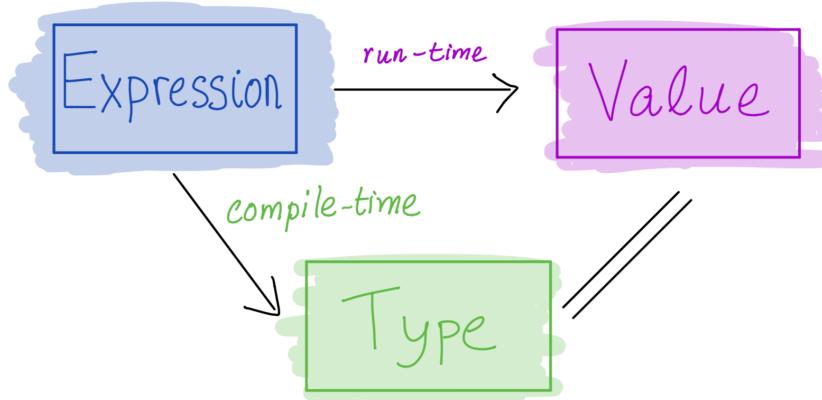
What is a suitable type for evalOp ?

- {- 1 -} evalOp :: BinOp -> Value
- {- 2 -} evalOp :: BinOp -> Value -> Value -> Value
- {- 3 -} evalOp :: BinOp -> Expr -> Expr -> Value
- {- 4 -} evalOp :: BinOp -> Expr -> Expr -> Expr
- {- 5 -} evalOp :: BinOp -> Expr -> Value

# *The Nano Language*

Features of Nano:

1. Arithmetic [*done*]
2. Variables
3. Let-bindings
4. Functions
5. Recursion



## 2. Nano: Variables

Let's add variables and **let** bindings!

```
e ::= n          -- OLD  
| e1 + e2  
| e1 - e2  
| e1 * e2  
| x          -- NEW  
              -- variables
```

Lets extend our datatype

```
type Id = String

data Expr
  = ENum Int           -- OLD
  | EBin Binop Expr Expr
    -- NEW
  | EVar Id            -- variables
```

# QUIZ

What should the following expression evaluate to?

x + 1

- (A) 0
- (B) 1
- (C) Error

# *Environment*

An expression is evaluated in an **environment**

- A **phone book** which maps *variables* to *values*

```
[ "x" := 0, "y" := 12, ... ]
```

A type for *environments*

```
type Env = [(Id, Value)]
```

# *Evaluation in an Environment*

We write

(eval env expr) ==> value

to mean

When **expr** is **evaluated in environment env** the result is **value**

So: when we have variables, we modify our evaluator ( eval )

- to take an input environment **env** in which **expr** must be evaluated.

eval :: Env -> Expr -> Value

eval env expr = -- ... *value-of-expr-in-env...*

First, lets update the evaluator for the arithmetic cases ENum and EBin

eval :: Env -> Expr -> Value

eval env (ENum n) = ???

eval env (EBin op e1 e2) = ???

# *QUIZ*

What is a suitable ?value such that

```
eval [ "x" := 0, "y" := 12, ...] (x + 1) ==> ?value
```

- (A) 0
- (B) 1
- (C) Error

# *QUIZ*

What is a suitable `env` such that

`eval env (x + 1) ==> 10`

(A) []

(B) [x := 0, y := 9]

(C)  $[x := 9, y := 0]$

(D)  $[x := 9, y := 10, z := 666]$

(E)  $[y := 10, z := 666, x := 9]$

# *Evaluating Variables*

Using the above intuition, lets update our evaluator to handle variables i.e. the `EVar` case:

```
eval env (EVar x) = ???
```

Lets confirm that our `eval` is ok!

```
envA = []
envB = ["x" := 0 , "y" := 9]
envC = ["x" := 9 , "y" := 0]
envD = ["x" := 9 , "y" := 10 , "z" := 666]
envE = ["y" := 10, "z" := 666, "x" := 9 ]

-- >>> eval envA (EBin Add (EVar "x") (ENum 1))
-- >>> eval envB (EBin Add (EVar "x") (ENum 1))
-- >>> eval envC (EBin Add (EVar "x") (ENum 1))
-- >>> eval envD (EBin Add (EVar "x") (ENum 1))
-- >>> eval envE (EBin Add (EVar "x") (ENum 1))
```

# *The Nano Language*

Features of Nano:

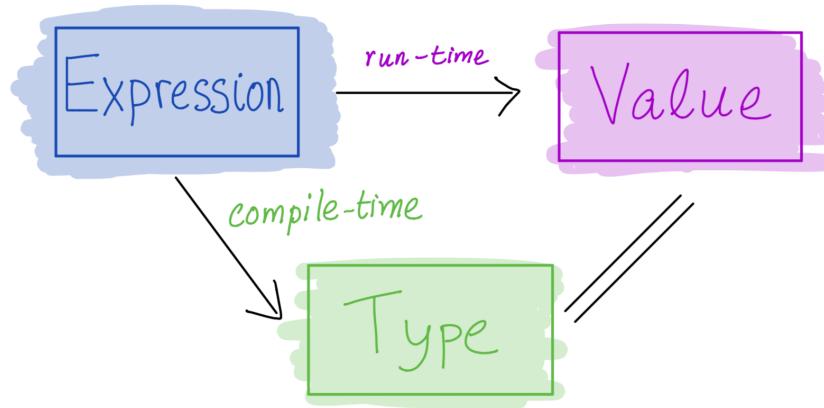
1. Arithmetic expressions [done]

2. Variables [done]

3. Let-bindings

4. Functions

5. Recursion



## 2. Nano: Variables

Let's add variables and **let** bindings!

```
e ::= n          -- OLD  
| e1 + e2  
| e1 - e2  
| e1 * e2  
| x  
-- NEW  
| let x = e1 in e2
```

Lets extend our datatype

```
type Id = String

data Expr
  = ENum Int           -- OLD
  | EBin Binop Expr Expr
  | EVar Id
    -- NEW
  | ELet Id Expr Expr
```

How should we extend eval ?

# *QUIZ*

What *should* the following expression evaluate to?

```
let x = 0
in
  x + 1
```

(A) Error

(B) 1

(C) 0

# *QUIZ*

What *should* the following expression evaluate to?

```
let x = 0
in
let y = 100
in
x + y
```

(A) Error

(B) 0

(C) 1

(D) 100

(E) 101

# QUIZ

What *should* the following expression evaluate to?

```
let x = 0
in
let x = 100
in
x + 1
```

(A) Error

(B) 0

(C) 1

(D) 100

(E) 101

# *QUIZ*

What *should* the following expression evaluate to?

```
let x = 0
in
  (let x = 100 in
    in
      x + 1
  )
+
x
```

(A) Error

(B) 1

(C) 101

(D) 102

(E) 2

# *Principle: Static/Lexical Scoping*

Every variable *use* gets its value from a unique *definition*:

- “Nearest” **let** -binder in program *text*

**Static** means you can tell *without running the program*

Great for readability and debugging

1. Define *local* variables
2. Be sure *where* each variable got its value

Don’t have to scratch head to figure where a variable got “assigned”

How to **implement** static scoping?

# *QUIZ*

Lets re-evaluate the quizzes!

```
-- env  
let x = 0  
in           -- ??? what env to use for `x + 1`?  
    x + 1
```

- (A) env
- (B) [ ]
- (C) [ ("x" := 0) ]
- (D) ("x" := 0) : env

(E) env ++ ["x" := 0]

*QUIZ*

-- env

```
let x = 0
in          -- (x := 0) : env
let y = 100
in          -- ??? what env to use for `x + y` ?
x + y
```

- (A) ("x" := 0) : env
- (B) ("y" := 100) : env
- (C) ("y" := 100) : ("x" := 0) : env
- (D) ("x" := 0) : ("y" := 100) : env
- (E) [("y" := 100), ("x" := 0)]

# QUIZ

Lets re-evaluate the quizzes!

```
-- env  
let x = 0  
in           -- ("x" := 0) : env  
let x = 100  
in           -- ??? what env to use for `x + 1`?  
x + 1
```

- (A) ("x" := 0) : env
- (B) ("x" := 100) : env
- (C) ("x" := 100) : ("x" := 0) : env
- (D) ("x" := 0) : ("x" := 100) : env

(E) [("x" := 100)]

04-nano is out

Friday 3/4

*Extending Environments*

Lets fill in eval for the **let**  $x = e1$  **in**  $e2$  case!

eval env (ELet x e1 e2) = ???

let  $x = 10$   
*in*  
 $x * x$

1. Evaluate  $e1$  in  $\text{env}$  to get a value  $v1$
2. Extend environment with value for  $x$  i.e. to  $(x := v1) : \text{env}$
3. Evaluate  $e2$  using *extended* environment.

Lets make sure our tests pass!

## Run-time Errors

Haskell function to *evaluate* an expression:

```
eval :: Env -> Expr -> Value
eval env (Num n)      = n
eval env (Var x)      = lookup x env      -- (A)
eval env (Bin op e1 e2) = evalOp op v1 v2 -- (B)
  where
    v1              = eval env e1          -- (C)
    v2              = eval env e2          -- (C)(D)
eval env (Let x e1 e2) = eval env1 e2
  where
    v1              = eval env e1
    env1            = (x, v1) : env        -- (E)
```

## QUIZ

Will `eval env expr` always return a value ? Or, can it *crash*?

- (A) operation at A may fail (B) operation at B may fail (C) operation at C may fail (D)  
operation at D may fail (E) nah, its all good..., always returns a Value

*Free vs bound variables*

*Undefined Variables*

How do we make sure lookup doesn't cause a run-time error?

**Bound Variables**

Consider an expression `let x = e1 in e2`

*let x = e1  
in*

- An occurrence of  $x$  is **bound** in  $e_2$
- i.e. when occurrence of form `let x = ... in ... x ...`
- i.e. when  $x$  occurs “under” a **let** binding for  $x$ .



## Free Variables

An occurrence of  $x$  is **free** in  $e$  if it is **not bound** in  $e$

## Closed Expressions

An expression  $e$  is **closed** in environment  $\text{env}$ :

- If all **free variables** of  $e$  are defined in  $\text{env}$

## Successful Evaluation

lookup will never fail

- If  $\text{eval env } e$  is only called on  $e$  that is closed in  $\text{env}$

# QUIZ

Which variables occur free in the expression? 

```
let y = (let x = 2
          in x ) + x
in
let x = 3
in
x + y
```

(A) None

(B) x

(C) y

(D) x and y

## *Exercise to try at home*

Consider the function

isOK :: Expr → Bool /

isOK e == TRUE only if

evaluate :: Expr -> Value

e has NO free vars-

evaluate e

isOk e = eval emptyEnv e

| otherwise = error "Sorry! bad expression, it will crash `eval`!"

where

emptyEnv = []

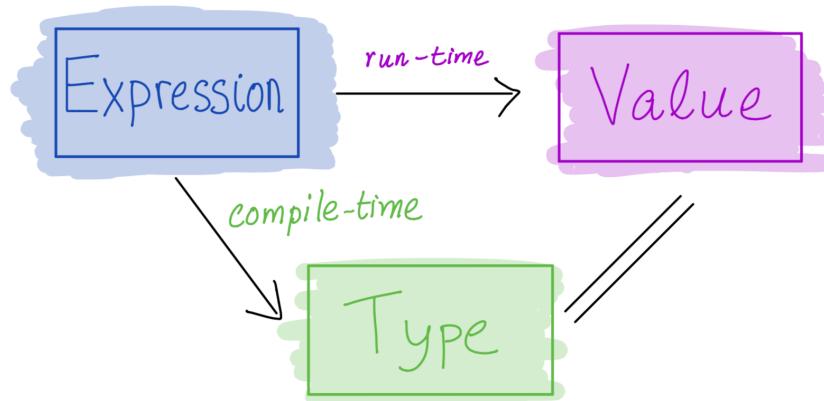
-- has NO bindings

What should isOk check for? (Try to implement it for nano ...)

# The Nano Language

Features of Nano:

- ✓ 1. Arithmetic expressions [done]
- ✓ 2. Variables [done]
- ✓ 3. Let-bindings [done]
- 4. Functions
- 5. Recursion



# *Nano: Functions*

Let's add

- *lambda abstraction* (aka function definitions)

$\lambda x \rightarrow e$   
 $(e_1 \ e_2)$

- *application* (aka function calls)

```
e ::= n                                -- OLD  
| e1 `op` e2  
| x  
| let x = e1 in e2  
| \x -> e                            -- NEW  
| e1 e2                             -- abstraction  
| e1 e2                             -- application
```

## Example

```
let incr = \x -> x + 1  
in  
  incr 10
```

# *Representation*

```
data Expr
  = ENum Int           -- OLD
  | EBin Binop Expr Expr
  | EVar Id
  | ELet Id Expr Expr
                                -- NEW
  | ???                  -- abstraction |x -> e
  | ???                  -- application (e1 e2)
```

*Representation*

```

data Expr
  = ENum Int           -- OLD
  | EBin Binop Expr Expr
  | EVar Id
  | ELet Id Expr Expr
                                -- NEW
  | ELam Id Expr         -- abstraction |x -> e
  | EApp Expr Expr      -- application (e1 e2)

```

## *Example*

```

let incr = \x -> x + 1
in
    incr 10

```

is represented as

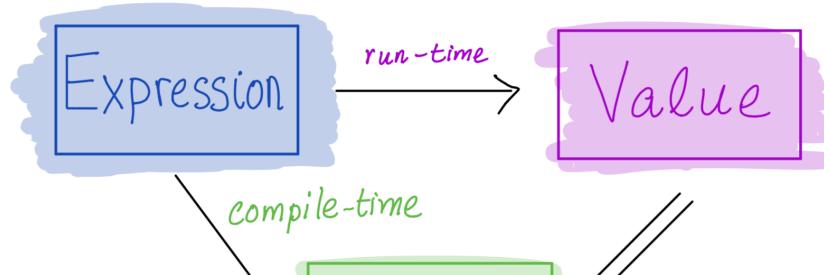
```

ELet "incr" (ELam "x" (EBin Add (EVar "x") (ENum 1)))
(
  EApp (EVar "incr") (ENum 10)
)

```

# *Functions are Values*

Recall the trinity





But... what is the *value* of a function?

Lets build some intuition with examples.

value?  
let incr =  $\lambda x \rightarrow x + 1$   
in  
incr 5

## QUIZ

What does the following expression evaluate to?

→ ENV

```
let incr = \x -> x + 1      -- abstraction ("definition")
in
  incr 10                  -- application ("call")
```

(A) Error/Undefined

(B) 10

(C) 11

(D) 0

(E) 1

# *What is the Value of incr?*

- Is it an Int ?
- Is it a Bool ?
- Is it a ???

What information do we need to store (in the Env ) about incr ?

incr is a FUNCTION & what it does

$$\lambda x \rightarrow \boxed{x + 1}$$

\ x

*A Function's Value is its Code*

`let incr = \x -> x + 1`  
 in  
`incr 10`

-- env  
 -- ("incr" := `<code>`) : env  
 -- evaluate `<code>` with parameter := 10  
 $x \rightarrow x+1$   
 $\boxed{x := 10}$

What information do we store about `<code>` ?

$"x"$        $x+1$   
 $\text{eval } [x:=10] (x+1)$

- ① lookup `<code>` for "incr"
- ② eval `<code>` with param set to "10"

$(e_1 \quad e_2) \xrightarrow{\hspace{10em}} \langle \text{param, body} \rangle$

A Call's Value

$v_2$   
 $\text{eval } [\text{param} := v_2] \text{ body}$

How to evaluate the "call" `incr 10` ?

1. Lookup the `<code>` i.e. `<param, body>` for `incr` (stored in the environment),

2. Evaluate `body` with `param` set to `10`!

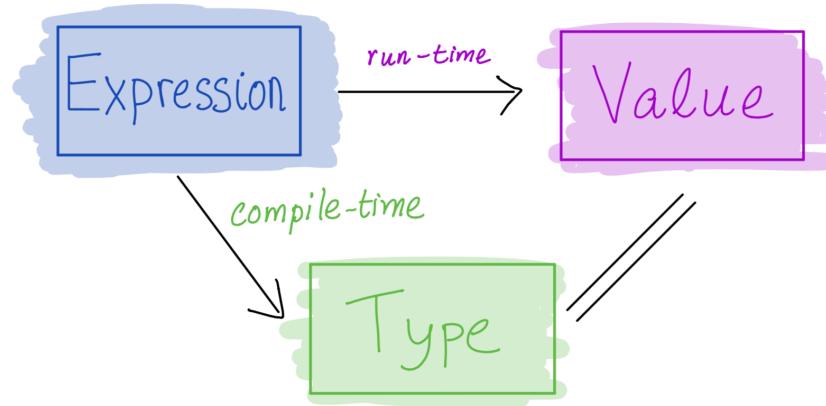
## *Two kinds of Values*

We now have *two* kinds of Values

```
v ::= n          -- OLD  
| <x, e>        -- <param, body>
```

1. Plain Int (as before)
2. A function's "code": a pair of "parameter" and "body-expression"

```
data Value
  = VInt Int          -- OLD
  | VCode Id Expr     -- <x, e>
```



## *Evaluating Lambdas and Applications*

```
eval :: Env -> Expr -> Value
```

-- OLD

```
eval env (ENum n)      = ???  
eval env (EVar x)      = ???  
eval env (EBin op e1 e2) = ???  
eval env (ELet x e1 e2) = ???
```

-- NEW

```
eval env (ELam x e)    = ???  
eval env (EApp e1 e2)   = ???
```

Lets make sure our tests work properly!

```
exLam1 = ELet "incr" (ELam "x" (EBin Add (EVar "x") (ENum 1)))  
(  
  EApp (EVar "incr") (ENum 10)  
)
```

```
-- >>> eval [] exLam1
```

```
-- 11
```

## QUIZ

What should the following evaluate to?

```
let c = 1
in
let inc = \x -> x + c
in
inc 10
```

(A) Error/Undefined

(B) 10

(C) 11

(D) 0

(E) 1

```

exLam2 = ELet "c" (ENum 1)
    (ELet "incr" (ELam "x" (EBin Add (EVar "x") (EVar "c"))))
        (
            EApp (EVar "incr") (ENum 10)
        )
    )
)

```

```

-- >>> eval [] exLam2
-- ???

```

# QUIZ

And what should *this* expression evaluate to?

The diagram shows the evaluation of a let-expression with handwritten annotations:

- Initial State:** `let c = 1` →  $[ ]$
- First Environment:** `in` →  $[c := 1]$
- Second Environment:** `let inc = \x -> x + c` →  $[inc := \langle x, x+c \rangle, c := 1]$
- Third Environment:** `in` →  $[c := 100]$
- Final Environment:** `let c = 100` →  $[env]$   $[c := 100, inc := \langle x, x+c \rangle, c := 1]$
- Result:** `in` →  $[inc 10]$
- Final Answer:**  $[x := 10, c := 100, inc := \langle x, x+c \rangle, c := 1]$
- Annotation:** A yellow box highlights the final environment:  $[x := 10, c := 100, inc := \langle x, x+c \rangle, c := 1]$ .
- Label:** (A) Error/Undefined

(B) 110

(C) 11

## *The “Immutability Principle”*

A function’s behavior should *never change*

- A function must *always* return the same output for a given input

Why?

```
> myFunc 10  
0
```

```
> myFunc 10  
10
```

Oh no! How to find the bug? Is it

- In `myFunc` or
- In a global variable or
- In a library somewhere else or
- ...

### My worst debugging nightmare

Colbert “Immutability Principle” (<https://youtu.be/CWqzLgDc030?t=628>)

# *The Immutability Principle ?*

How does our eval work?

```
exLam3 = ELet "c" (ENum 1)
  (
    ELet "incr" (ELam "x" (EBin Add (EVar "x") (EVar "c")))
    (
      ELet "c" (ENum 100)
      (
        EApp (EVar "incr") (ENum 10)
      )
    )
  )
```

```
-- >>> eval [] exLam3
-- ???
```

Oops?

```

-- []
let c = 1
in                                     -- ["c" := 1]
let inc = \x -> x + c
in                                     -- ["inc" := <x, x+c>, c := 1]
let c = 100
in                                     -- ["c" := 100, "inc" := <x, x+c>, "c" := 1]
<<< env
    inc 10

```

And so we get

```
eval env (inc 10)
```

```
==> eval ("x" := 10 : env) (x + c)
```

```
==> 10 + 100
```

```
==> 110
```

Ouch.

# *Enforcing Immutability with Closures*

How to enforce immutability principle

- `inc 10` always returns 11 ?

## *Key Idea: Closures*

At definition: *Freeze* the environment the function's value

At call: Use the *frozen* environment to evaluate the *body*

Ensures that `inc 10` always evaluates to the same result!

-- []

```
let c = 1
in          -- ["c" := 1]
  let inc = \x -> x + c
  in          -- ["inc" := <frozenv, x, x+c>, c := 1] <<< frozenv
env = ["c" := 1]
  let c = 100
  in          -- ["c" := 100, "inc" := <frozenv, x, x+c>, "c" :
= 1]
    inc 10
```

Now we evaluate

```
eval env (inc 10)
```

```
==> eval ("x" := 10 : frozenv) (x + c) where frozenv = ["c" := 1]
```

```
==> 10 + 1
```

```
==> 1
```

tada!

# *Representing Closures*

Lets change the `Value` datatype to also store an `Env`

```
data Value
  = VInt Int          -- OLD
  | VClos Env Id Expr -- <frozenv, param, body>
```

## *Evaluating Function Definitions*

How should we fix the definition of eval for ELam?

```
eval :: Env -> Expr -> Value
```

```
eval env (ELam x e) = ???
```

**Hint:** What value should we *bind incr* to in our example above?

(Recall At definition *freeze* the environment the function's value)

## *Evaluating Function Calls*

How should we fix the definition of eval for EApp?

`eval :: Env -> Expr -> Value`

`eval env (EApp e1 e2) = ???`

(Recall **At call**: Use the *frozen* environment to evaluate the *body*)

**Hint:** What value should we *evaluate incr 10* to?

1. Evaluate `incr` to get `<frozend, "x", x + c>`
2. Evaluate `10` to get `10`
3. Evaluate `x + c` in `x:=10 : frozend`

Let's generalize that recipe!

1. Evaluate `e1` to get `<frozend, param, body>`
2. Evaluate `e2` to get `v2`
3. Evaluate `body` in `param := v2 : frozend`

## *Immutability Achieved*

Lets put our code to the test!

```
exLam3 = ELet "c" (ENum 1)
  (
    ELet "incr" (ELam "x" (EBin Add (EVar "x") (EVar "c")))
    (
      ELet "c" (ENum 100)
      (
        EApp (EVar "incr") (ENum 10)
      )
    )
  )
)
```

```
-- >>> eval [] exLam3
-- ???
```

# *QUIZ*

What should the following evaluate to?

```
let add = \x -> (\y -> x + y)
in
let add10 = add 10
in
let add20 = add 20
in
(add10 100) + (add20 1000)
```

- A. 1100
- B. 1110
- C. 1120
- D. 1130
- E. 1140

## *Functions Returning Functions Achieved!*

```
exLam4 = ...
```

```
-- >>> eval [] exLam4
```

# *Practice*

What should the following evaluate to?

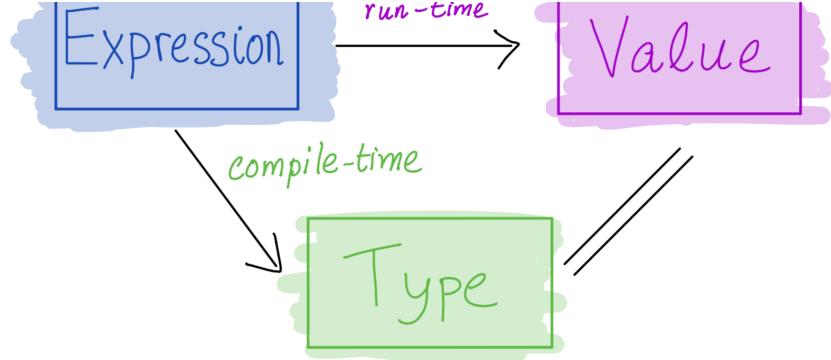
```
let add = \x -> (\y -> x + y)
in
let add10 = add 10
in
let doTwice = \f -> (\x -> f (f x))
in
doTwice add10 100
```

# *Functions Accepting Functions Achieved!*

```
exLam5 = ...
```

```
-- >>> eval [] exLam4
```

*The Nano Language*



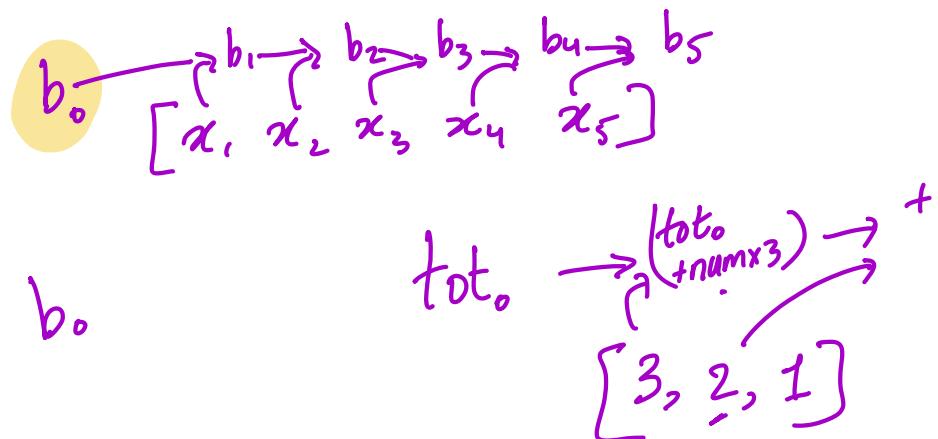
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5. Recursion

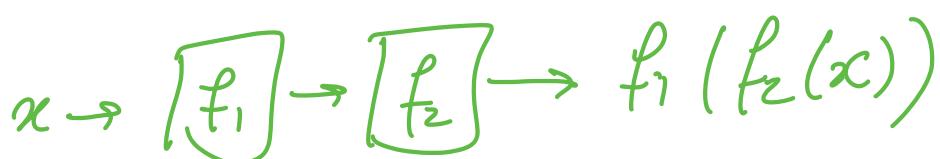
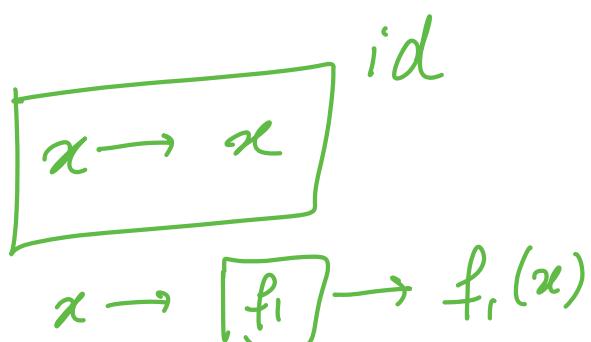
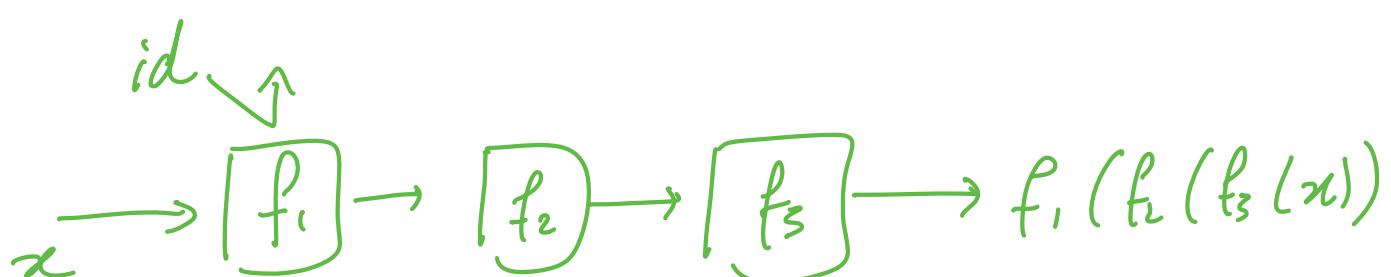
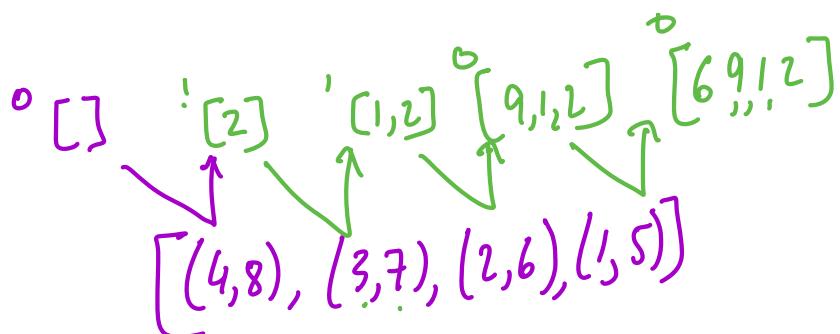
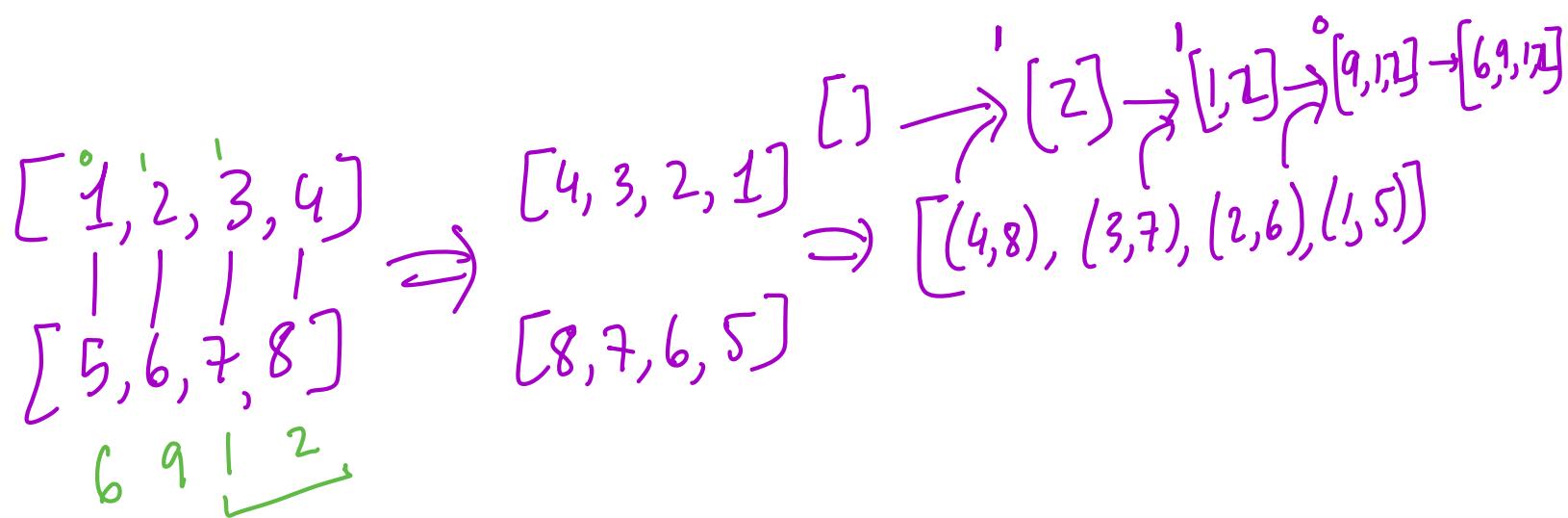
... You figure it out Hw4 ... :-)

(<https://ucsd-cse130.github.io/wi22/feed.xml>) (<https://twitter.com/ranjitjhala>)  
(<https://plus.google.com/u/0/104385825850161331469>) (<https://github.com/ranjitjhala>)

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(<http://lucumr.pocoo.org>), suggest improvements here (<https://github.com/ucsd-progsys/liquidhaskell-blog/>).



$f \equiv \text{shift} \& \text{mul-num-d}$   
add to  $t_{dt_0}$



$$b_0 \equiv \lambda x_0 \rightarrow x_0$$

$$b_1 \equiv \underbrace{\text{op}}_{-} b_0 f_1 \equiv \lambda x_1 \rightarrow f_1(x_1)$$

$$b_2 \equiv \underbrace{\text{op}}_{-} b_1 f_2 \equiv \lambda x_2 \rightarrow f_1(f_2(x_2))$$

$$b_3 \equiv \underbrace{\text{op}}_{-} b_2 f_3 \equiv \lambda x_3 \rightarrow f_1(f_2(f_3(x_3)))$$

$$\cancel{b_4} \equiv \underbrace{\text{op}}_{-} b_3 f_4 \equiv \lambda x_4 \rightarrow \underbrace{f_1(f_2(f_3(f_4 x_4)))}_{\lambda x \rightarrow \underline{b_3}(f_4 x)}$$